

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Dungan Jefferson</u> _____ (Last) (First) (Middle) C/O CONTROL4 CORPORATION 11734 S. ELECTION ROAD _____ (Street) SALT LAKE UT 84020 CITY _____ (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>CONTROL4 CORP [CTRL]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>SVP Bus. Development</u>
	3. Date of Earliest Transaction (Month/Day/Year) <u>11/15/2018</u>	
4. If Amendment, Date of Original Filed (Month/Day/Year)		

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/15/2018		M		1,417	A	(1)	14,506 ⁽⁸⁾	D	
Common Stock	11/15/2018		F		416 ⁽²⁾	D	\$22.21	14,090	D	
Common Stock	11/15/2018		M		1,233	A	(1)	15,323	D	
Common Stock	11/15/2018		F		547 ⁽²⁾	D	\$22.21	14,776	D	
Common Stock	11/15/2018		M		1,417	A	(1)	16,193	D	
Common Stock	11/15/2018		F		575 ⁽²⁾	D	\$22.21	15,618	D	
Common Stock	11/15/2018		M		1,233	A	(1)	16,851	D	
Common Stock	11/15/2018		F		547 ⁽²⁾	D	\$22.21	16,304	D	
Common Stock								324	I	By 401(k) Plan ⁽³⁾

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date						Title
Performance-based Restricted Stock Units	(1)	11/15/2018		M			1,417	(4)	(4)	Common Stock	1,417	\$0	1,417	D	
Performance-based Restricted Stock Units	(1)	11/15/2018		M			1,233	(5)	(5)	Common Stock	1,233	\$0	6,167	D	
Restricted Stock Units	(1)	11/15/2018		M			1,417	(6)	(6)	Common Stock	1,417	\$0	1,417	D	

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date						Title
Restricted Stock Units	(1)	11/15/2018		M			1,233	(7)	(7)	Common Stock	1,233	\$0	6,167	D	

Explanation of Responses:

- Each Unit represents the right to receive, at settlement, one share of common stock at no cost.
- The federal and state tax withholding due at the vesting of these Units was satisfied by Control4 through a net issuance of these shares, which were retained by Control4 as treasury stock, and the requisite withholding amount was paid to the relevant tax authorities by Control4 on behalf of the Reporting Person. We used the closing price of the shares on the day prior to the vest date for withholding calculations.
- Shares held in Reporting Person and spouse's 401(k) Plan.
- This PSU award was granted on January 1, 2016. One-third of the shares in the award vested on February 10, 2017 due to the achievement of a certain 2016 financial performance goal. The remaining shares vest as follows, an additional 1/12 of the shares vest quarterly, on the 15th of May, August, November and February in each of the following eight quarters.
- This PSU award was granted on January 3, 2017. One-third of the shares in the award vested on February 15, 2018 due to the achievement of a certain 2017 financial performance goal. The remaining shares vest as follows, an additional 1/12 of the shares vest quarterly, on the 15th of May, August, November and February in each of the following eight quarters.
- This RSU award was granted on January 1, 2016. One-third of the shares in the award vested on February 10, 2017. The remaining shares vest as follows, an additional 1/12 of the shares vest quarterly, on the 15th of May, August, November and February in each of the following eight quarters.
- This RSU award was granted on January 3, 2017. One-third of the shares in the award vested on February 15, 2018. The remaining shares vest as follows, an additional 1/12 of the shares vest quarterly, on the 15th of May, August, November and February in each of the following eight quarters.
- Since the date of the reporting person's last ownership report, he transferred 13,090 shares of Control4 common stock and employee stock options to purchase 12,187 shares of Control4 common stock to his ex-wife pursuant to a domestic relations order. The transferred options consist of: 1,639 shares from an ISO granted 12/31/2014; 3,048 from an NSO granted 12/31/2014; 4,917 shares from an ISO granted 2/28/2014; and 2,583 shares from an NSO granted 2/28/2014. The reporting person no longer reports as beneficially owned any securities owned by his ex-wife.

/s/ Jonathan Tanner, Attorney 11/19/2018
in fact for Jefferson Dungan

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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