FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and	2. Issuer Name and Ticker or Trading Symbol CONTROL4 CORP [ CTRL ]									Relationship of Reporting Person(s) to Issuer (Check all applicable)     Director 10% Owner									
(Last) (First) (Middle) C/O CONTROL4 CORPORATION					3. Date of Earliest Transaction (Month/Day/Year) 11/15/2018									X Officer (give title Other (specify below) SVP of Marketing					
11734 S.	4. If An	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable								
(Street) SALT LAKE UT 84020 CITY														Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)																			
	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Y					Execution Date,		ate,	3. Transacti Code (In: 8)		4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)			, 4 and Secu Bene Own		cially	6. Ownership Form: Direct (D) or Indirect (I)	ect	7. Nature of Indirect Beneficial Ownership	
						Code	v	Amount	(A) or (D)		e	Following Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		(Instr. 4)			
Common	Stock	11/15/20	18	}			M		1,417	A		(1)		,417	D				
Common	Stock	11/15/20	18	;			F		703(2)	D	\$2	\$22.21		714	D				
Common	11/15/20	18				M		1,233	A	_	(1)		,947	D					
Common	11/15/20	18			F		612(2)	D	\$2	\$22.21		,335	D	_					
Common	11/15/20				M F		1,417	A	-	(1)	-	,752	D						
Common	11/15/20	1/15/2018						703(2)	D	+	2.21	2	,049	D	_				
Common	11/15/20	-				M		1,233	A	-			,282	D	$\dashv$				
Common Stock 11/				-			F		612(2)	D	+	\$22.21		,670	D				
Common Stock 11/16								M		5,022	A	-	4.89	-	,692	D			
Common Stock 11/16/20				18				S		5,022	D	\$22	2.48(9)	2	,670	D	$\dashv$		
Common Stock															757	I		By 401(k) Plan <sup>(3)</sup>	
		Та	ble II - Derivat (e.g., pt							osed of, convertil				wned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	Conversion Date Service of Perivative Service of Servic		4. Transac Code (Ir	5.  Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y		isable and	7. Title and Amount of Securities Underlying Derivative Security (Instr and 4)		8. Price of Derivative Security (Instr. 5)		9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Owner Form Direct or In- (I) (In 4)	t (D) direct	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisal		Expiration Date	Title	Amo or Num of Shar	ber						
Performance- based Restricted Stock Units	(1)	11/15/2018		М		1,417		(4)		(4)	Common Stock	1,4	17	\$0	1,417	1	)		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)  5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ivative urities uired or posed D) tr. 3,	6. Date Exer Expiration D (Month/Day/	ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Performance- based Restricted Stock Units	(1)	11/15/2018		М			1,233	(5)	(5)	Common Stock	1,233	\$0	6,167	D	
Restricted Stock Units	(1)	11/15/2018		M			1,417	(6)	(6)	Common Stock	1,417	\$0	1,417	D	
Restricted Stock Units	(1)	11/15/2018		M			1,233	(7)	(7)	Common Stock	1,233	\$0	6,167	D	
Stock Option (Right to Buy)	\$4.89	11/16/2018		М			5,022	(8)	08/31/2019	Common Stock	5,022	\$0	15,060	D	

## **Explanation of Responses:**

- 1. Each unit represents the right to receive, at settlement, one share of common stock at no cost.
- 2. The federal and state tax withholding due at the vesting of these Units was satisfied by Control4 through a net issuance of these shares, which were retained by Control4 as treasury stock, and the requisite withholding amount was paid to the relevant tax authorities by Control4 on behalf of the Reporting Person. We used the closing price of the shares on the day prior to the vest date for withholding calculations.
- 3. Shares held in Reporting Person's 401(k) Plan.
- 4. This PSU award was granted on January 1, 2016. One-third of the shares in the award vested on February 10, 2017 due to the achievement of a certain 2016 financial performance goal. The remaining shares vest as follows, an additional 1/12 of the shares vest quarterly, on the 15th of May, August, November and February in each of the following eight quarters.
- 5. This PSU award was granted on January 3, 2017. One-third of the shares in the award vested on February 15, 2018 due to the achievement of a certain 2017 financial performance goal. The remaining shares vest as follows, an additional 1/12 of the shares vest quarterly, on the 15th of May, August, November and February in each of the following eight quarters.
- 6. This RSU award was granted on January 1, 2016. One-third of the shares in the award vested on February 10, 2017. The remaining shares vest as follows, an additional 1/12 of the shares vest quarterly, on the 15th of May, August, November and February in each of the following eight quarters.
- 7. This RSU award was granted on January 3, 2017. One-third of the shares in the award vested on February 15, 2018. The remaining shares vest as follows, an additional 1/12 of the shares vest quarterly, on the 15th of May, August, November and February in each of the following eight quarters.
- 8. Incentive Stock Options granted September 1, 2009, which vested over 4 years and are now fully vested and exercisable.
- 9. This price represents the weighted average sale price for various open-market sales through a broker-dealer ranging from \$22.00 per share to \$22.97 per share. Full information regarding the number of shares sold at each price will be provided upon request from the SEC, the Issuer or a security holder.

/s/ Jonathan Tanner, Attorney in fact for Susan Cashen 11/19/2018

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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