

OMB APPROVAL	
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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Vassallo Steven</u> <hr/> (Last) (First) (Middle) C/O FOUNDATION CAPITAL 250 MIDDLEFIELD ROAD <hr/> (Street) MENLO PARK CA 94025 <hr/> (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 08/01/2013	3. Issuer Name and Ticker or Trading Symbol <u>CONTROL4 CORP [ CTRL ]</u>	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner Officer (give title below) Other (specify below)	5. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	18,920	I	By: Foundation Capital IV, L.P. <sup>(2)</sup>
Common Stock	149	I	By: FC IV Active Advisors Fund, LLC <sup>(2)</sup>
Common Stock	160	I	By: Foundation Capital IV Principals Fund, LLC <sup>(2)</sup>

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Series C Convertible Preferred Stock	(1)	(1)	Common Stock	1,770,469	(1)	I	By: Foundation Capital IV, L.P. <sup>(2)</sup>
Series C Convertible Preferred Stock	(1)	(1)	Common Stock	13,981	(1)	I	By: FC IV Active Advisors Fund, LLC <sup>(2)</sup>
Series C Convertible Preferred Stock	(1)	(1)	Common Stock	15,025	(1)	I	By: Foundation Capital IV Principals Fund, LLC <sup>(2)</sup>
Series D Convertible Preferred Stock	(1)	(1)	Common Stock	890,389	(1)	I	By: Foundation Capital IV, L.P. <sup>(2)</sup>
Series D Convertible Preferred Stock	(1)	(1)	Common Stock	7,031	(1)	I	By: FC IV Active Advisors Fund, LLC <sup>(2)</sup>
Series D Convertible Preferred Stock	(1)	(1)	Common Stock	7,556	(1)	I	By: Foundation Capital IV Principals Fund, LLC <sup>(2)</sup>
Series E Convertible Preferred Stock	(1)	(1)	Common Stock	863,962	(1)	I	By: Foundation Capital IV, L.P. <sup>(2)</sup>

**Table II - Derivative Securities Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Series E Convertible Preferred Stock	(1)	(1)	Common Stock	6,822	(1)	I	By: FC IV Active Advisors Fund, LLC <sup>(2)</sup>
Series E Convertible Preferred Stock	(1)	(1)	Common Stock	7,332	(1)	I	By: Foundation Capital IV Principals Fund, LLC <sup>(2)</sup>
Series F Convertible Preferred Stock	(1)	(1)	Common Stock	1,132,980	(1)	I	By: Foundation Capital IV, L.P. <sup>(2)</sup>
Series F Convertible Preferred Stock	(1)	(1)	Common Stock	8,947	(1)	I	By: FC IV Active Advisors Fund, LLC <sup>(2)</sup>
Series F Convertible Preferred Stock	(1)	(1)	Common Stock	9,615	(1)	I	By: Foundation Capital IV Principals Fund, LLC <sup>(2)</sup>
Series G Convertible Preferred Stock	(1)	(1)	Common Stock	79,699	(1)	I	By: Foundation Capital IV, L.P. <sup>(2)</sup>
Series G Convertible Preferred Stock	(1)	(1)	Common Stock	629	(1)	I	By: FC IV Active Advisors Fund, LLC <sup>(2)</sup>
Series G Convertible Preferred Stock	(1)	(1)	Common Stock	676	(1)	I	By: Foundation Capital IV Principals Fund, LLC <sup>(2)</sup>
Series G Convertible Preferred Stock	(1)	(1)	Common Stock	240,332	(1)	I	By: Foundation Capital VI, L.P. <sup>(3)</sup>
Series G Convertible Preferred Stock	(1)	(1)	Common Stock	2,684	(1)	I	By: Foundation Capital VI Principals Fund, LLC <sup>(3)</sup>

**Explanation of Responses:**

- Each share of Issuer's Preferred Stock automatically converts into Common Stock on a 1-for-1 basis immediately prior to the closing of the Issuer's initial public offering and has no expiration date.
- Foundation Capital Management Co. IV, LLC is the general partner of Foundation Capital IV, L.P., FC IV Active Advisors Fund, LLC and Foundation Capital IV Principals Fund, LLC. Steven P. Vassallo is a non-managing member of Foundation Capital Management Co. IV, LLC. As a non-managing member of Foundation Capital Management Co. IV, LLC, Mr. Vassallo disclaims beneficial ownership in the shares held by the aforementioned entities except to the extent of his pecuniary interest therein.
- Foundation Capital Management Co. VI, LLC is the general partner of Foundation Capital VI, L.P. and Foundation Capital VI Principals Fund, LLC. Steven P. Vassallo is a managing member of Foundation Capital Management Co. VI, LLC and may be deemed to share voting and investment power over the shares owned by Foundation Capital VI, L.P. and Foundation Capital VI Principals Fund, LLC. Mr. Vassallo disclaims beneficial ownership in the shares held by the aforementioned entities except to the extent of his pecuniary interest therein.

/s/ Gail M. Haney as Attorney-  
In-Fact 08/01/2013

\*\* Signature of Reporting Person      Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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