

OMB APPROVAL	
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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>vSpring Management III D, L.L.C.</u> (Last) (First) (Middle) 2795 E. COTTONWOOD PARKWAY, SUITE 360 (Street) SALT LAKE CITY 84121 (City) (State) (Zip)	2. Date of Event Requiring Statement (Month/Day/Year) 08/01/2013	3. Issuer Name and Ticker or Trading Symbol <u>CONTROL4 CORP [CTRL]</u>	
		4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director <input checked="" type="checkbox"/> 10% Owner Officer (give title below) Other (specify below)	5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Series A Convertible Preferred Stock	(1)	(1)	Common Stock	692,307	(1)	I	By vSpring SBIC, L.P. ⁽²⁾⁽³⁾
Series B Convertible Preferred Stock	(1)	(1)	Common Stock	871,652	(1)	I	By vSpring SBIC, L.P. ⁽²⁾⁽³⁾
Series C Convertible Preferred Stock	(1)	(1)	Common Stock	282,505	(1)	I	By vSpring SBIC, L.P. ⁽²⁾⁽³⁾
Series D Convertible Preferred Stock	(1)	(1)	Common Stock	94,268	(1)	I	By vSpring SBIC, L.P. ⁽²⁾⁽³⁾
Series G Convertible Preferred Stock	(1)	(1)	Common Stock	63,542	(1)	I	By vSpring III D, L.P. ⁽⁴⁾⁽³⁾
Series G Convertible Preferred Stock	(1)	(1)	Common Stock	226,819	(1)	I	By vSpring III, L.P. ⁽⁵⁾⁽³⁾
Series G Convertible Preferred Stock	(1)	(1)	Common Stock	1,256	(1)	I	By vSpring Partners III, L.P. ⁽⁶⁾⁽³⁾
Series G Convertible Preferred Stock	(1)	(1)	Common Stock	32,402	(1)	I	By vSpring SBIC, L.P. ⁽²⁾⁽³⁾

1. Name and Address of Reporting Person*

[vSpring Management III D, L.L.C.](#)

(Last) (First) (Middle)
2795 E. COTTONWOOD PARKWAY, SUITE 360

(Street)
SALT LAKE CITY 84121

(City) (State) (Zip)

1. Name and Address of Reporting Person*

[vSpring Management III, L.L.C.](#)

(Last) (First) (Middle)
2795 E. COTTONWOOD PARKWAY, SUITE 360

(Street)
SALT LAKE CITY UT 84121

(City) (State) (Zip)

1. Name and Address of Reporting Person*

[vSpring Partners III, L.P.](#)

(Last) (First) (Middle)
2795 E. COTTONWOOD PARKWAY, SUITE 360

(Street)
SALT LAKE CITY UT 84121

(City) (State) (Zip)

1. Name and Address of Reporting Person*

[vSpring SBIC Management, L.L.C.](#)

(Last) (First) (Middle)
2795 E. COTTONWOOD PARKWAY, SUITE 360

(Street)
SALT LAKE CITY UT 84121

(City) (State) (Zip)

1. Name and Address of Reporting Person*

[VSPRING SBIC LP](#)

(Last) (First) (Middle)
2795 E. COTTONWOOD PARKWAY, SUITE 360

(Street)
SALT LAKE CITY UT 84121

(City) (State) (Zip)

1. Name and Address of Reporting Person*		
vSpring III D, L.P.		
(Last)	(First)	(Middle)
2795 E. COTTONWOOD PARKWAY, SUITE 360		
(Street)		
SALT LAKE CITY CA		84121
(City) (State) (Zip)		
1. Name and Address of Reporting Person*		
vSpring III L P		
(Last)	(First)	(Middle)
2795 E. COTTONWOOD PARKWAY, SUITE 360		
(Street)		
SALT LAKE CITY UT		84121
(City) (State) (Zip)		

Explanation of Responses:

- Each share of Issuer's Preferred Stock automatically converts into Common Stock on a 1-for-1 basis immediately prior to the closing of the Issuer's initial public offering and has no expiration date.
- These shares are owned directly by vSpring SBIC, L.P. ("vSpring SBIC"), of which vSpring SBIC Management, L.L.C. ("vSpring SBIC Management") is the sole general partner and exercises voting and investment power over these shares. The managing members of vSpring SBIC Management are Scott Petty and Dinesh Patel. Scott Petty is a director of Issuer. vSpring SBIC, vSpring III D (as defined below), vSpring III (as defined below) and vSpring Partners (as defined below) may be deemed to be members of a Section 13(d) "group." vSpring Management III (as defined below), vSpring Management III D (as defined below), vSpring III D, vSpring III and vSpring Partners disclose the existence of such group and disclaim beneficial ownership of any shares held by vSpring SBIC.
- The reporting persons and their managing members disclaim beneficial ownership of these securities, except to the extent of their respective proportionate pecuniary interest therein, and this report shall not be deemed an admission that any of the reporting persons or their managing members are the beneficial owners of such securities for Section 16 or any other purpose.
- These shares are owned directly by vSpring III D, L.P. ("vSpring III D"), of which vSpring Management III D, L.L.C. ("vSpring Management III D") is the sole general partner and exercises voting and investment power over these shares. The managing members of vSpring Management III D are Scott Petty, Dinesh Patel, Ron Heinz and Brandon Tidwell. Scott Petty is a director of Issuer. vSpring III D, vSpring SBIC, vSpring III (as defined below) and vSpring Partners (as defined below) may be deemed to be members of a Section 13(d) "group." vSpring SBIC, vSpring SBIC Management, vSpring Management III (as defined below), vSpring III and vSpring Partners disclose the existence of such group and disclaim beneficial ownership of any shares held by vSpring III D.
- These shares are owned directly by vSpring III, L.P. ("vSpring III"), of which vSpring Management III, L.L.C. ("vSpring Management III") is the sole general partner and exercises voting and investment power over these shares. The managing members of vSpring Management III are Scott Petty, Dinesh Patel, Ron Heinz and Brandon Tidwell. Scott Petty is a director of Issuer. vSpring III, vSpring SBIC, vSpring III D and vSpring Partners (as defined below) may be deemed to be members of a Section 13(d) "group." vSpring SBIC, vSpring SBIC Management, vSpring Management III D, vSpring III D and vSpring Partners disclose the existence of such group and disclaim beneficial ownership of any shares held by vSpring III.
- These shares are owned directly by vSpring Partners III, L.P. ("vSpring Partners"), of which vSpring Management III is the sole general partner and exercises voting and investment power over these shares. The managing members of vSpring Management III are Scott Petty, Dinesh Patel, Ron Heinz and Brandon Tidwell. Scott Petty is a director of Issuer. vSpring Partners, vSpring III D, vSpring SBIC and vSpring III may be deemed to be members of a Section 13(d) "group." vSpring SBIC, vSpring SBIC Management, vSpring Management III D, vSpring III D and vSpring III disclose the existence of such group and disclaim beneficial ownership of any shares held by vSpring Partners.

vSpring Management III D, L.L.C., /s/ Scott R. Petty, Managing Member	08/01/2013
vSpring Management III, L.L.C., /s/ Scott R. Petty, Managing Member	08/01/2013
vSpring Partners III, L.P., /s/ Scott R. Petty, Managing Member of vSpring Management III, LLC, its General Partner	08/01/2013
vSpring SBIC Management, L.L.C., /s/ Scott R. Petty, Managing Member	08/01/2013
vSpring SBIC, L.P., /s/ Scott R. Petty, Managing Member of vSpring SBIC Management, LLC, its General Partner	08/01/2013
vSpring III D, L.P., /s/ Scott R. Petty, Managing Member of vSpring Management III D, LLC, its General Partner	08/01/2013
vSpring III, L.P., /s/ Scott R. Petty, Managing Member of vSpring Management III, LLC, its General Partner	08/01/2013

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

LIMITED POWER OF ATTORNEY

The undersigned hereby constitutes and appoints each of Martin Plaehn, Dan Strong, Greg Bishop and Richard Kline, signing singly, and with full power of substitution, the undersigned's true and lawful attorney-in-fact to:

(1) execute for and on behalf of the undersigned, in the undersigned's capacity as a Section 16 reporting person of Control4 Corporation (the "Company"), from time to time the following U.S. Securities and Exchange Commission ("SEC") forms: (i) Form ID, including any attached documents, to effect the assignment of codes to the undersigned to be used in the transmission of information to the SEC using the EDGAR System; (ii) Form 3, Initial Statement of Beneficial Ownership of Securities, including any attached documents; (iii) Form 4, Statement of Changes in Beneficial Ownership of Securities, including any attached documents; (iv) Form 5, Annual Statement of Beneficial Ownership of Securities in accordance with Section 16(a) of the Securities Exchange Act of 1934, as amended, and the rules thereunder, including any attached documents; (v) Schedule 13D and (vi) amendments of each thereof, in accordance with the Securities Exchange Act of 1934, as amended, and the rules thereunder, including any attached documents;

(2) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4 or 5, Schedule 13D or any amendment(s) thereto, and timely file such form(s) with the SEC and any securities exchange, national association or similar authority; and

(3) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best interest of, or legally required by, the undersigned, it being understood that the documents executed by such attorney-in-fact on behalf of the undersigned pursuant to this Power of Attorney shall be in such form and shall contain such terms and conditions as such attorney-in-fact may approve in such attorney-in-fact's discretion.

The undersigned hereby grants to each such attorney-in-fact, acting singly, full power and authority to do and perform any and every act and thing whatsoever requisite, necessary or proper to be done in the exercise of any of the rights and powers herein granted, as fully to all intents and purposes as the undersigned might or could do if personally present, with full power of substitution or revocation, hereby ratifying and confirming all that such attorney-in-fact, or such attorney-in-fact's substitute or substitutes, shall lawfully do or cause to be done by virtue of this power of attorney and the rights and powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assuming, nor is the Company assuming, any of the undersigned's responsibilities to comply with Section 16 or Regulation 13D-G of the Securities Exchange Act of 1934, as amended. The undersigned hereby agrees to indemnify the attorney in fact and the Company from and against any demand, damage, loss, cost or expense arising from any false or misleading information provided by the undersigned to the attorney-in fact.

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file such forms with respect to the undersigned's holdings of and transactions in securities issued by the Company, unless earlier revoked by the undersigned in a signed writing delivered to the foregoing attorneys-in-fact.

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of July 29, 2013.

vSpring III, L.P.
vSpring Partners III, L.P.
By vSpring Management III, L.L.C., its General Partner
/s/ Scott R. Petty, Managing Director

vSpring III D, L.P.
By vSpring Management III D, LLC, its General Partner
/s/ Scott R. Petty, Managing Director

vSpring Management III D, L.L.C.
/s/ Scott R. Petty, Managing Director

vSpring Management III, L.L.C.
By Scott R. Petty, Managing Director

vSpring SBIC Management, L.L.C.
By Scott R. Petty, Managing Director